

Extensions of signature Gröbner bases: rings and the free algebra

Thibaut Verron

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Gröbner bases

Gröbner bases for commutative polynomials over fields:

- ▶ solving equations (parametrization, elimination, dimension of the solutions...)
- ▶ simplifications, reductions, computations in modules
- ▶ **with signatures**: optimization, computation of syzygies and cofactors

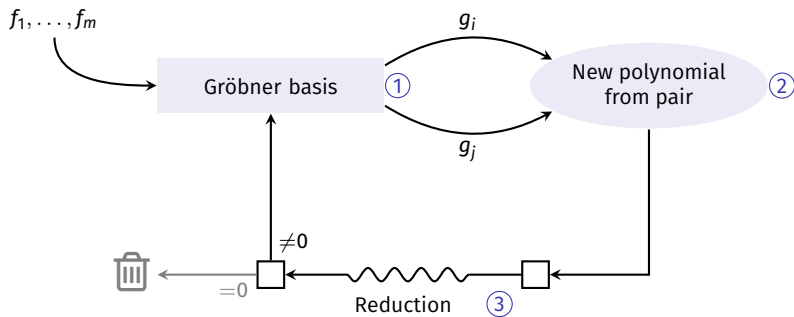
This talk: two generalizations of signatures

- ▶ Gröbner bases over \mathbb{Z}
- ▶ Gröbner bases on the free algebra

Notations:

- ▶ R ring or field
- ▶ Commutative polynomial algebra: $A = R[X_1, \dots, X_n]$ with a monomial order $<$
- ▶ Commutative monomial: $\mathbf{X}^{\mathbf{a}} = X_1^{a_1} \dots X_n^{a_n}$
- ▶ Free algebra: $A = R\langle X_1, \dots, X_n \rangle$ with a monomial order $<$
- ▶ Noncommutative monomial (word): $X_{i_1} X_{i_2} \dots X_{i_d}$

$$f = \frac{\text{lt}(f)}{\text{lc}(f) \cdot \text{lm}(f)} + \text{smaller terms}$$



1. **Selection:** different strategies

2. **Construction:** S-polynomials: $S\text{-Pol}(g_i, g_j) = \frac{\text{lcm}(\text{lt}(g_i), \text{lt}(g_j))}{\text{lt}(g_i)} g_i - \frac{\text{lcm}(\text{lt}(g_i), \text{lt}(g_j))}{\text{lt}(g_j)} g_j$

3. **Reduction:** if $\text{lt}(f) = \text{lt}(g)$, $f \rightarrow f - tg$

Reminder on signature Gröbner basis algorithms

Setting:

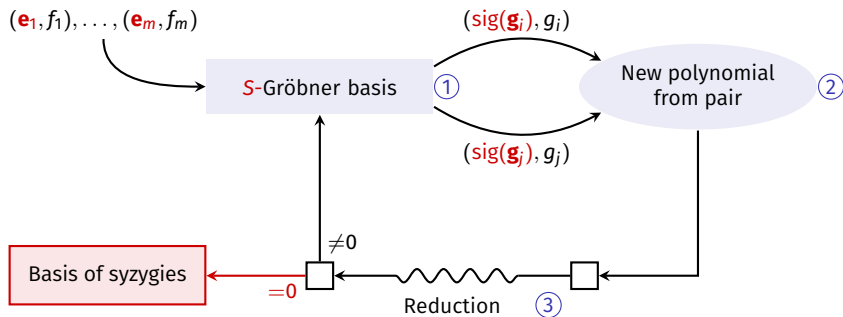
- ▶ Given $f_1, \dots, f_m \in A = R[\mathbf{X}]$ generating the ideal I
- ▶ A -module $A^m = A\mathbf{e}_1 \oplus \dots \oplus A\mathbf{e}_m$ with a A -morphism $\pi : A^m \rightarrow I$, $\mathbf{e}_i \mapsto f_i$
- ▶ A -module $\mathcal{I} = \{(\mathbf{f}, \pi(\mathbf{f})) : \mathbf{f} \in A^m\} \subseteq A^m \times I$
- ▶ \mathcal{I} is isomorphic to A^m , we use the same notation: if $f = \pi(f)$, $\mathbf{f} \equiv (\mathbf{f}, f) \equiv f^{[\mathbf{f}]}$

Signatures:

- ▶ Assign a monomial ordering on A^m (compatible with that on A)
- ▶ Signature of \mathbf{f} : $\text{sig}(\mathbf{f}) =$ leading monomial of $\mathbf{f} \in A^m$ for that ordering
- ▶ We use **sig** for the leading monomial of the **module part**
- ▶ We keep using **lt**, etc. for the leading term of the **polynomial part**: $\text{lt}(\mathbf{f}) = \text{lt}(f)$

Regular operations

- ▶ If $\text{sig}(\mathbf{f}) > \text{sig}(\mathbf{g})$, $\mathbf{f} - \mathbf{g}$ is a **regular** operation (the signature is preserved)
- ▶ If $\text{sig}(\mathbf{f}) = \text{sig}(\mathbf{g})$, $\mathbf{f} - \mathbf{g}$ is a **singular** operation (the signature may drop)



1. **Selection**: non-decreasing signatures

2. **Construction**: **regular** S-polynomials: $S\text{-Pol}(\mathbf{g}_i, \mathbf{g}_j) = \frac{\text{lcmlt}(\mathbf{g}_i, \mathbf{g}_j)}{\text{lt}(\mathbf{g}_i)} \mathbf{g}_i - \frac{\text{lcmlt}(\mathbf{g}_i, \mathbf{g}_j)}{\text{lt}(\mathbf{g}_j)} \mathbf{g}_j$

3. **Reduction**: **regular s-reductions**: if $\text{lt}(\mathbf{f}) = \text{tlt}(\mathbf{g})$ and $\text{tsig}(\mathbf{g}) \preceq \text{sig}(\mathbf{f})$, $\mathbf{f} \rightarrow \mathbf{f} - \mathbf{t}\mathbf{g}$

Part 1: signature Gröbner bases over \mathbb{Z}

Joint work with Maria Francis
(Indian Institute of Technology Hyderabad)

Summary of Gröbner basis algorithms over rings

Two questions:

- ▶ How to compute S-polynomials?
- ▶ How to compute reductions?

Field

Buchberger (1965)
Faugère: F4 (1999)
⋮

Usual // Usual
Usual // Usual (linear algebra)

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General (Noetherian) ring

Möller weak (1988)

Multiple // Multiple

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Principal ideal domain

Möller strong (1988)
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Usual // Usual with G-pol
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Signatures over \mathbb{Z}

Definition

- ▶ Over fields: signature of \mathbf{f} = leading monomial of the module part of \mathbf{f}
= monomial $m\mathbf{e}_i$ in A^m such that $f = cmf_i + \text{“smaller” terms}$
- ▶ In that case, c does not matter!
- ▶ Over rings, we cannot divide by c and we need to keep the coefficient in the signature
- ▶ The signature of f is $cm\mathbf{e}_i$

Consequence for operations

- ▶ If $\text{sig}(\mathbf{f}) > \text{sig}(\mathbf{g})$, $\mathbf{f} - \mathbf{g}$ is a **regular** operation (the signature is preserved)
- ▶ If $\text{sig}(\mathbf{f}) = \text{sig}(\mathbf{g})$, $\mathbf{f} - \mathbf{g}$ is a **singular** operation (the signature **does** drop)
- ▶ If $\text{sig}(\mathbf{f}) \simeq \text{sig}(\mathbf{g})$ with different coefficients, $\mathbf{f} - \mathbf{g}$ has signature $\text{sig}(\mathbf{f}) - \text{sig}(\mathbf{g})$

Main question: how to order the signatures with their coefficients?

Summary of Gröbner basis algorithms over rings with signatures

Three questions:

- ▶ How to compute S-polynomials?
- ▶ How to compute reductions?
- ▶ How to order signatures?

Case of fields: partial order is enough

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Summary of Gröbner basis algorithms over rings **with signatures**

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- ▶ How to compute reductions?
- ▶ **How to order signatures?**

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This work: signature variants of the algos of Kandri-Rody and Kapur, and of Pan/Lichtblau

What are G-polynomials?

Example: $f = 3x, g = 2y, I = \langle f, g \rangle$

- ▶ Not a strong Gröbner basis: $xy = yf - xg \in I$ is not reducible by f or g
- ▶ Adding $S\text{-Pol}(f, g) = 0$ does not help
- ▶ $G\text{-Pol}(f, g) = xy$

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- ▶ **G-Pol(f, g) = xy**

Definition

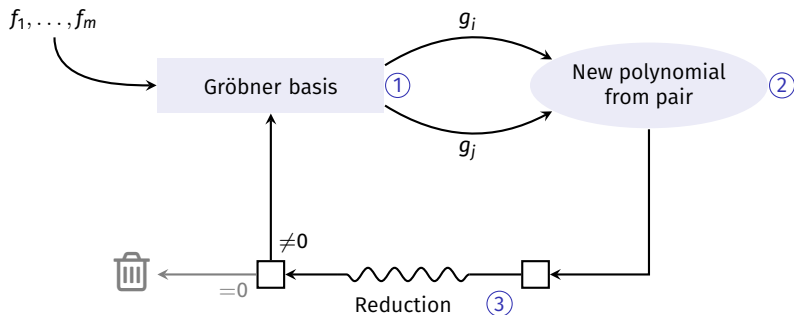
$\mathbf{f}, \mathbf{g} \in \mathcal{I}$, u, v Bézout coefficients for $\text{lc}(\mathbf{f}), \text{lc}(\mathbf{g})$

▶
$$\text{G-Pol}(\mathbf{f}, \mathbf{g}) = u \frac{\text{lc}(\mathbf{g})}{\text{lm}(\mathbf{f})} \mathbf{f} + v \frac{\text{lc}(\mathbf{f})}{\text{lm}(\mathbf{g})} \mathbf{g}$$

Main properties

- ▶ $\text{lc}(\text{G-Pol}(\mathbf{f}, \mathbf{g})) = \text{gcd}(\text{lc}(\mathbf{f}), \text{lc}(\mathbf{g}))$
- ▶ If $\text{lt}(\mathbf{f}) = t_1 \text{lt}(\mathbf{g}_1) + t_2 \text{lt}(\mathbf{g}_2)$, then \mathbf{f} is reducible by $\text{G-Pol}(\mathbf{g}_1, \mathbf{g}_2)$
- ▶ One can always choose u, v such that

$$\text{sig}(\text{G-Pol}(\mathbf{f}, \mathbf{g})) \simeq \max\left(\frac{\text{lc}(\mathbf{g})}{\text{lm}(\mathbf{f})} \text{sig}(\mathbf{f}), \frac{\text{lc}(\mathbf{f})}{\text{lm}(\mathbf{g})} \text{sig}(\mathbf{g})\right)$$



1. **Selection:** different strategies

2. **Construction:** S-polynomial

and G-polynomial if $\text{lc}(g_i)$ and $\text{lc}(g_j)$ do not divide each other

3. **Reduction**

G-polynomials for syzygies

Need a similar construction to capture all possible combinations of syzygy signatures.

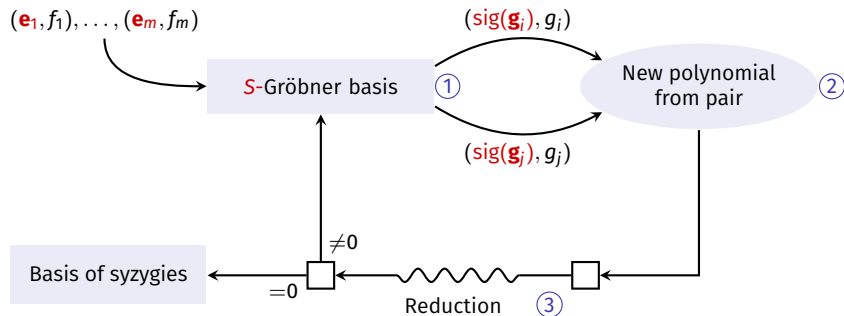
Definition

$\mathbf{z}_1, \mathbf{z}_2 \in \text{Syz}(\mathcal{I})$ with $\text{sig}(\mathbf{z}_i) = a_i m_i \mathbf{e}_j$; u, v Bézout coefficients for a_1, a_2

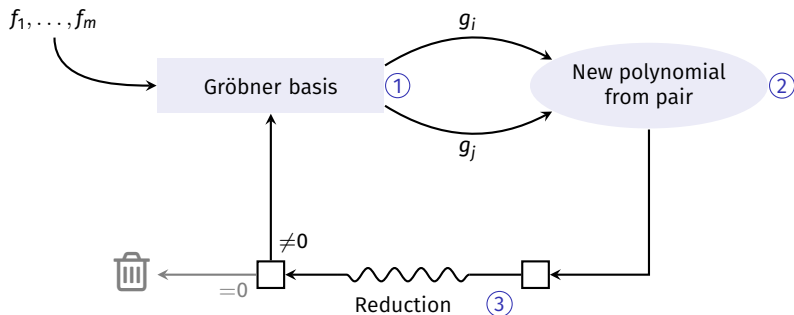
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Main properties

- $\blacktriangleright \text{sig}(\text{G-Pol}(\mathbf{z}_1, \mathbf{z}_2)) = \text{gcd}(a_1, a_2) \text{lcm}(m_1, m_2) \mathbf{e}_j$
- \blacktriangleright If $\text{sig}(\mathbf{f}) = t_1 \text{sig}(\mathbf{z}_1) + t_2 \text{sig}(\mathbf{z}_2)$, then \mathbf{f} is sig-reducible by $\text{G-Pol}(\mathbf{z}_1, \mathbf{z}_2)$
- \blacktriangleright No need to be careful about the choice of u, v



- Selection:** non-decreasing signatures
- Construction:** **regular** S-polynomial
and G-polynomial if $\text{lc}(\mathbf{g}_i)$ and $\text{lc}(\mathbf{g}_j)$ do not divide each other
- Reduction:** **regular**



1. **Selection:** different strategies
2. **Construction:** S-polynomial if one of $\text{lc}(g_i)$ and $\text{lc}(g_j)$ divides the other
or G-polynomial if $\text{lc}(g_i)$ and $\text{lc}(g_j)$ do not divide each other
3. **Reduction**

Why does it work?

Idea:

- ▶ Let f and g with $a = \text{lc}(f)$ and $b = \text{lc}(g)$ not dividing each other, let $d = \text{gcdlc}(f, g)$
- ▶ How to recover $S\text{-Pol}(f, g) = \frac{b}{d}\mu f - \frac{a}{d}\nu g$?

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- ▶ The algorithm computes $h = \text{G-Pol}(f, g) = u\mu f + v\nu g$, with $\text{lc}(h) = d$

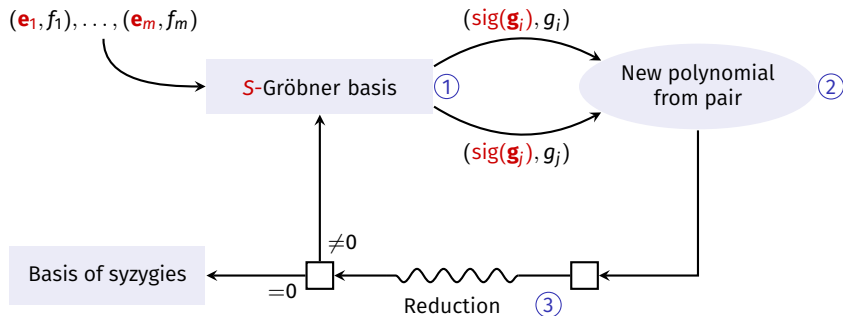
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- ▶ $\text{lc}(h)$ divides both $\text{lc}(f)$ and $\text{lc}(g)$, and the algorithm computes the S-polynomials:

$$\begin{aligned}\text{S-Pol}(f, h) &= \mu f - \frac{a}{d}h \\ &= \left(1 - \frac{ua}{d}\right)\mu f - \frac{av}{d}\mu g \\ &= \frac{vb}{d}\mu f - \frac{av}{d}\nu g \\ &= \nu \text{S-Pol}(f, g)\end{aligned}$$

$$\text{S-Pol}(g, h) = u \text{S-Pol}(f, g)$$



1. **Selection:** non-decreasing signatures
2. **Construction:** **non-singular** S-polynomial if one of $\text{lc}(\mathbf{g}_i)$ and $\text{lc}(\mathbf{g}_j)$ divides the other
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▶ How to recover $\text{S-Pol}(\mathbf{f}, \mathbf{g}) = \frac{b}{d}\mu\mathbf{f} - \frac{a}{d}\nu\mathbf{g}$? Regular, sig. $\simeq \mu\mathbf{s}$

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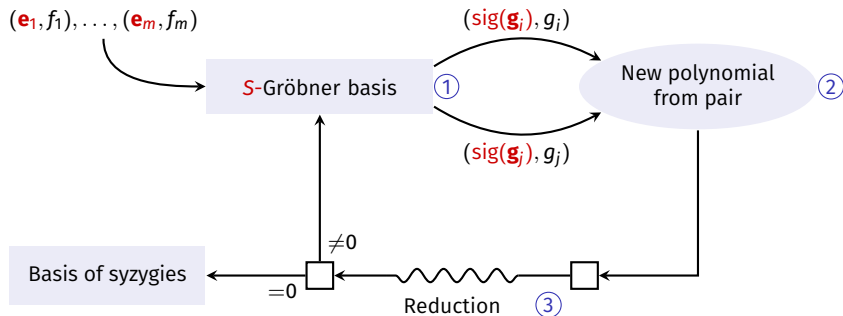
▶ $\text{lc}(\mathbf{h})$ divides both $\text{lc}(\mathbf{f})$ and $\text{lc}(\mathbf{g})$, and the algorithm computes the S-polynomials:

$\simeq \mu\mathbf{s}$ $\simeq \mu\mathbf{s}$ not regular

$$\begin{aligned}\text{S-Pol}(\mathbf{f}, \mathbf{h}) &= \mu\mathbf{f} - \frac{a}{d}\mathbf{h} \\ &= \left(1 - \frac{ua}{d}\right)\mu\mathbf{f} - \frac{av}{d}\mu\mathbf{g} \\ &= \frac{vb}{d}\mu\mathbf{f} - \frac{av}{d}\nu\mathbf{g} \\ &= \nu\text{S-Pol}(\mathbf{f}, \mathbf{g})\end{aligned}$$

$$\text{S-Pol}(\mathbf{g}, \mathbf{h}) = u\text{S-Pol}(\mathbf{f}, \mathbf{g})$$

Consequence: we need to allow all non-singular S-polynomials



1. **Selection:** non-decreasing signatures
2. **Construction:** **non-singular** S-polynomial if one of $\text{lc}(\mathbf{g}_i)$ and $\text{lc}(\mathbf{g}_j)$ divides the other
or G-polynomial if $\text{lc}(\mathbf{g}_i)$ and $\text{lc}(\mathbf{g}_j)$ do not divide each other
3. **Reduction:** **regular**

Comparison of the algorithms

Theorem: criterion for correctness

Let $\mathcal{G} \subset \mathcal{I}$ and $\mathcal{G}_z \subset \text{Syz}(I)$ be such that:

- ▶ for all i , there is an element with signature \mathbf{e}_i in $\mathcal{G} \cup \mathcal{G}_z$

“Correct ideal”

Then \mathcal{G} is a sig-Gröbner basis and \mathcal{G}_z is a sig-basis of syzygies.

Kandri-Rody, Kapur	Pan/Lichtblau
S-pol if regular	S-pol if non-singular and lc divides
G-pol if lc does not divide	G-pol if lc does not divide
Regular reductions	Regular reductions

Comparison of the algorithms

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- ▶ all regular S-pols of \mathcal{G} s-reduce to 0 mod \mathcal{G}

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- ▶ if those reductions are regular, their result is sig-reducible mod \mathcal{G}_z

“Correct ideal”

“Gröbner basis”

“Basis of syzygies”

Then \mathcal{G} is a sig-Gröbner basis and \mathcal{G}_z is a sig-basis of syzygies.

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- ▶ if those reductions are regular, their result is sig-reducible mod \mathcal{G}_z
- ▶ all G-pols of \mathcal{G} are s-reducible mod \mathcal{G}
- ▶ all G-pols of \mathcal{G}_z are sig-reducible mod \mathcal{G}_z

“Correct ideal”

“Gröbner basis”

“Basis of syzygies”

“Sufficiently many G-pols”

Then \mathcal{G} is a sig-Gröbner basis and \mathcal{G}_z is a sig-basis of syzygies.

Kandri-Rody, Kapur	Pan/Lichtblau
S-pol if regular	S-pol if non-singular and lc divides
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Comparison of the algorithms

Theorem: criterion for correctness

Let $\mathcal{G} \subset \mathcal{I}$ and $\mathcal{G}_z \subset \text{Syz}(I)$ be such that:

- ▶ for all i , there is an element with signature \mathbf{e}_i in $\mathcal{G} \cup \mathcal{G}_z$ *“Correct ideal”*
- ▶ all regular S-pols of \mathcal{G} s-reduce to 0 mod \mathcal{G} *“Gröbner basis”*
- ▶ if those reductions are regular, their result is sig-reducible mod \mathcal{G}_z *“Basis of syzygies”*
- ▶ all G-pols of \mathcal{G} are s-reducible mod \mathcal{G}
- ▶ all G-pols of \mathcal{G}_z are sig-reducible mod \mathcal{G}_z *“Sufficiently many G-pols”*

Then \mathcal{G} is a sig-Gröbner basis and \mathcal{G}_z is a sig-basis of syzygies.

Kandri-Rody, Kapur	Pan/Lichtblau
S-pol if regular	S-pol if non-singular and lc divides
G-pol if lc does not divide	G-pol if lc does not divide
Regular reductions	Regular reductions
More criteria?	More criteria?

Super-reducible criterion in the case of fields

- ▶ \mathbf{f} is super reducible modulo \mathbf{g} if $\text{tsig}(\mathbf{g}) \simeq \text{sig}(\mathbf{f})$ and $\text{tlt}(\mathbf{g}) = \text{lt}(\mathbf{f})$
- ▶ $\mathbf{h} = \mathbf{f} - \mathbf{tg}$ is a singular s-reduction
- ▶ If \mathbf{h} s-reduces to 0 mod \mathcal{G} , then \mathbf{f} s-reduces to 0 mod \mathcal{G}
- ▶ **Consequence:** we can exclude super-reducible polynomials

Super-reducible criterion in the case of rings

- ▶ \mathbf{f} is super reducible modulo \mathbf{g} if $\text{tsig}(\mathbf{g}) = \text{sig}(\mathbf{f})$ and $\text{tlt}(\mathbf{g}) \simeq \text{lt}(\mathbf{f})$
- ▶ $\mathbf{f}' = \mathbf{f} - \mathbf{tg}$ is **not** a reduction!
- ▶ If \mathbf{f}' s-reduces to 0 mod \mathcal{G} and **G-pols of \mathcal{G} s-reduce to 0**, then \mathbf{f} s-reduces to 0 mod \mathcal{G}
- ▶ **Consequence:** we can exclude super-reducible **S-polynomials**

Definition: cover property in the case of fields

The pair $(\mathbf{f}_1, \mathbf{f}_2)$ is covered by $\mathbf{g} \in \mathcal{G} \cup \mathcal{G}_z$ if:

- ▶ there exists a term t such that $\text{sig}(\text{S-Pol}(\mathbf{f}_1, \mathbf{f}_2)) = t\text{sig}(\mathbf{g})$
- ▶ $t\text{lt}(\mathbf{g}) < \text{lcmlm}(\mathbf{f}_1, \mathbf{f}_2)$ (with $\text{lt}(\mathbf{g}) = 0$ if syzygy)

Definition: cover property in the case of fields

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Definition: cover property in the case of rings

The pair $(\mathbf{f}_1, \mathbf{f}_2)$ is covered by $\mathbf{g} \in \mathcal{G}$ and $\mathbf{z} \in \mathcal{G}_z$ if:

- ▶ there exist terms t_g, t_z such that $\text{sig}(\text{S-Pol}(\mathbf{f}_1, \mathbf{f}_2)) = t_g\text{sig}(\mathbf{g}) + t_z\text{sig}(\mathbf{z})$
- ▶ $t_g\text{lt}(\mathbf{g}) < \text{lcmlm}(\mathbf{f}_1, \mathbf{f}_2)$

Reminder: general criterion for correctness

Let $\mathcal{G} \subset \mathcal{I}$ and $\mathcal{G}_z \subset \text{Syz}(I)$ be such that:

- ▶ for all i , there is an element with signature \mathbf{e}_i in $\mathcal{G} \cup \mathcal{G}_z$
- ▶ all regular S-pols of \mathcal{G} s-reduce to 0 mod \mathcal{G}
- ▶ if those reductions are regular, their result is sig-reducible mod \mathcal{G}_z
- ▶ all G-pols of \mathcal{G} are s-reducible mod \mathcal{G}
- ▶ all G-pols of \mathcal{G}_z are sig-reducible mod \mathcal{G}_z

Then \mathcal{G} is a sig-Gröbner basis and \mathcal{G}_z is a sig-basis of syzygies.

Theorem: cover criterion for correctness

Let $\mathcal{G} \subset \mathcal{I}$ and $\mathcal{G}_z \subset \text{Syzy}(I)$ be such that:

- ▶ for all i , there is an element with signature \mathbf{e}_i in $\mathcal{G} \cup \mathcal{G}_z$
- ▶ all regular S-pols of \mathcal{G} are covered by a pair of $\mathcal{G}, \mathcal{G}_z$
- ▶ all G-pols of \mathcal{G} are s-reducible modulo \mathcal{G}
- ▶ all G-pols of \mathcal{G}_z are sig-reducible mod \mathcal{G}_z

Then \mathcal{G} is a SGB and \mathcal{G}_z is a sig-basis of syzygies.

This criterion is convenient...

- ▶ in practice, because it allows to eliminate many elements
- ▶ in theory, because it allows for a simpler proof of correctness

But it requires that **all** regular S-pols of \mathcal{G} be covered, which Pan/Lichtblau a priori cannot enforce.

Quantitative comparison between the algorithms

System	Algorithm	Total pairs	Reduced	To zero	Time (s)
Katsura-4	Kandri-Rody, Kapur	420	188	0	1.35
	Pan/Lichtblau	855	412	0	1.6
Katsura-5	Kandri-Rody, Kapur	248	723	0	32.40
	Pan/Lichtblau	7178	3983	0	79.87
Cyclic-5	Kandri-Rody, Kapur	221	63	0	0.37
	Pan/Lichtblau	347	158	0	0.71
Cyclic-6	Kandri-Rody, Kapur	3019	742	8	200.33
	Pan/Lichtblau	9672	5782	8	616.82

- ▶ **Toy implementation** of both algorithms in Magma
- ▶ Available at <https://gitlab.com/thibaut.verron/signature-groebner-rings>
- ▶ Kandri-Rody and Kapur is almost always more efficient than Pan/Lichtblau
- ▶ It is not due to the lack of cover criterion

Operations

- ▶ **Gröbner basis**: signatures (Kandri-Rody and Kapur) vs Magma's `GroebnerBasis` (F4)
- ▶ **GB with coefs.**: signature reconstruction vs Magma's `IdealWithFixedBasis` (F4 + tracking)
- ▶ **Basis of syzygy module**: signature reconstruction vs Magma's `SyzygyMatrix` (module GB)

System	S-GB (s)	Recons. (s)	Total (s)	GB (s)	GB + coefs (s)	Syz. basis (s)
Cyclic-5	0.4	0.1	0.5	0.01	954.6	954.8
Cyclic-6	200.3	10.6	210.9	2.08	>24h	>24h

Conclusion of part 1

This work

- ▶ Two signature-based algorithms for PID's following closely Buchberger's algorithm
- ▶ Compatible with powerful criteria such as super-reducibility and the cover criterion
- ▶ Additional criteria and optimizations are available (coprime criterion, Gebauer-Möller criteria, coefficient reductions...)
- ▶ Toy implementation in Magma

Future directions

- ▶ Linear algebra algorithms à la F4
- ▶ Improve implementation
- ▶ Extend use of signature bases

More details and references

- ▶ Francis and Verron, *On Two Signature Variants Of Buchberger's Algorithm Over Principal Ideal Domains*, ISSAC 2021

Part 2: signature Gröbner bases in the free algebra

Joint work with Clemens Hofstadler

Non-commutative Gröbner bases

Context:

- ▶ R field
- ▶ $A = R\langle X_1, \dots, X_n \rangle$ free algebra over R
- ▶ Monomials are words $X_{i_1} X_{i_2} \cdots X_{i_d}$

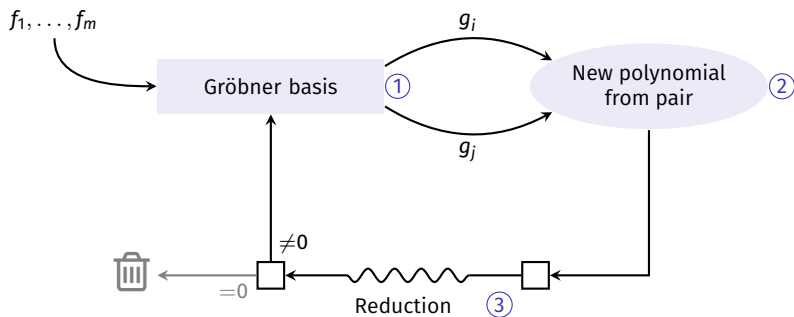
Gröbner bases:

- ▶ Monomial ordering and reduction are defined as usual
- ▶ Gröbner bases are defined as usual

Particularity:

- ▶ The free algebra is not Noetherian
- ▶ Most ideals do not admit a finite Gröbner basis
- ▶ It is not decidable whether an ideal admits a finite Gröbner basis

Non-commutative Buchberger's algorithm



1. **Selection:** fair selection strategy
2. **Construction:** S-polynomials
3. **Reduction**

Constructions in the non-commutative case

Several ways to make S-polynomials

► Overlap ambiguity

$$f = \text{green} \text{red} + \dots$$

$$g = \text{red} \text{blue} + \dots$$

$$\text{SPol}(f, g) = f \text{blue} - \text{green} g$$

► Inclusion ambiguity

$$f = \text{red} + \dots$$

$$g = \text{green} \text{red} \text{blue} + \dots$$

$$\text{SPol}(f, g) = \text{green} f \text{blue} - g$$

Constructions in the non-commutative case

Several ways to make S-polynomials

► Overlap ambiguity

$$f = \text{[green][red]} + \dots$$

$$g = \text{[red][blue]} + \dots$$

$$\text{SPol}(f, g) = f \text{[blue]} - \text{[green]} g$$

► Inclusion ambiguity

$$f = \text{[red]} + \dots$$

$$g = \text{[green][red][blue]} + \dots$$

$$\text{SPol}(f, g) = \text{[green]} f \text{[blue]} - g$$

Remarks:

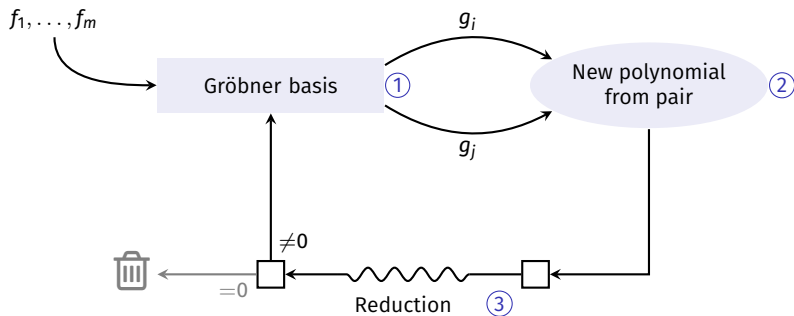
- The combination need not be minimal, and S-polynomials are not unique!

- $xyxy$ has an (overlap) ambiguity with itself:
$$\begin{array}{c} xyxy \\ xyxy \end{array}$$

- $xxyx$ and xy have two ambiguities:
$$\begin{array}{cc} xxyx & xxyx \\ xy & xy \end{array}$$

- Two polynomials can only give rise to finitely many S-polynomials
- It is required that the central part is non-trivial (coprime criterion)

Non-commutative Buchberger's algorithm

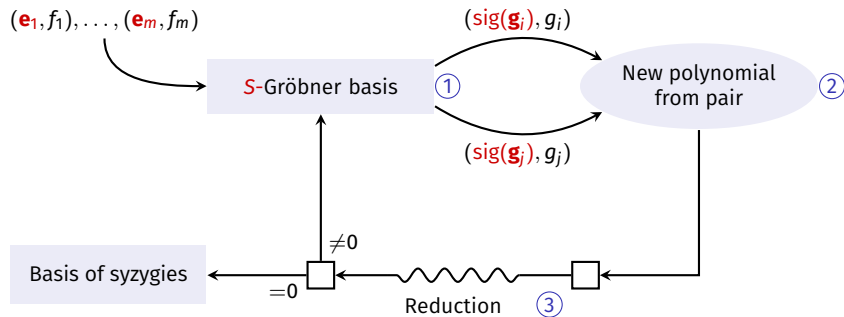


1. **Selection:** fair selection strategy *“Every S-polynomial is selected eventually.”*
2. **Construction:** S-polynomials
3. **Reduction**

Setting:

- ▶ Bimodule $M = Ae_1A \oplus \cdots \oplus Ae_mA$ with the usual morphism $M \rightarrow A$ with image I
- ▶ Equipped with a module monomial ordering
- ▶ We require the ordering to be fair (isomorphic to \mathbb{N})
- ▶ Signature of \mathbf{f} = leading monomial of the module part of \mathbf{f}
- ▶ Regular and singular operations are defined as before

Non-commutative Buchberger's algorithm with signatures



1. **Selection**: non-decreasing signatures for a **fair** ordering
2. **Construction**: **regular** S-polynomials
3. **Reduction** (**regular**)

Question 1: does the algorithm terminate?

- ▶ Of course not, because some ideals do not have a finite Gröbner basis.

Termination

Question 1: does the algorithm terminate?

- ▶ Of course not, because some ideals do not have a finite Gröbner basis.

Question 2: okay, but what if they do?

- ▶ Still not. In most cases, the module of syzygies does not have a finite Gröbner basis
- ▶ Conjecture: it's always the case if $n > 1$ (non-commutative) and $m > 1$ (non-principal)

Termination: trivial syzygies

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Obstruction: trivial syzygies!

- ▶ Syzygies of the form $\mathbf{f} \blacksquare g - f \blacksquare \mathbf{g}$ for **any** monomial \blacksquare
- ▶ Signature: $\max(\text{sig}(\mathbf{f}) \blacksquare \text{lm}(g), \text{lm}(f) \blacksquare \text{sig}(\mathbf{g}))$
- ▶ Because \blacksquare is put in the middle, there is no reason to expect this set to be finitely generated!

Termination: trivial syzygies and how to find them

Question 1: does the algorithm terminate?

- ▶ Of course not, because some ideals do not have a finite Gröbner basis.

Question 2: okay, but what if they do?

- ▶ Still not. In most cases, the module of syzygies does not have a finite Gröbner basis
- ▶ Conjecture: it's always the case if $n > 1$ (non-commutative) and $m > 1$ (non-principal)

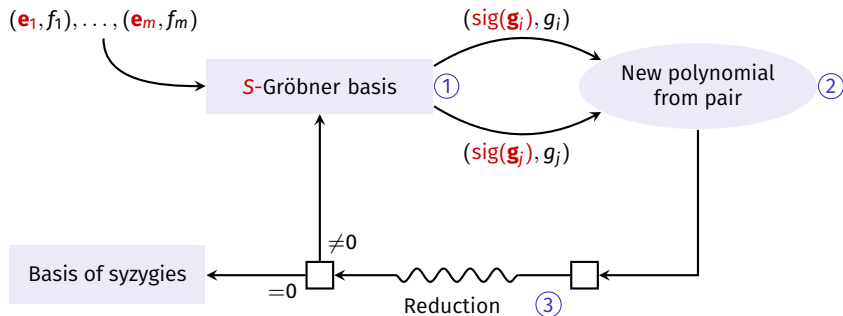
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- ▶ Because \blacksquare is put in the middle, there is no reason to expect this set to be finitely generated!

Solution: signatures!

- ▶ Identifying trivial syzygies is what signatures were made for! (F5 criterion)
- ▶ In the commutative case, this is an optimization
- ▶ In the non-commutative case, it is a requirement

Non-commutative Buchberger's algorithm with signatures



1. **Selection:** non-decreasing signatures for a **fair** ordering
2. **Construction:** **regular** S-polynomials **which are not eliminated by the F5 criterion**
3. **Reduction (regular)**

What do we get?

Output of the algorithm: a signature Gröbner basis, allowing to recover

- ▶ a sig-Gröbner basis \mathcal{G} (with coordinates)
- ▶ a set \mathcal{H} of syzygies such that $\mathcal{H} \cup \{\text{trivial syzygies of } \mathcal{G}\}$ is a basis of the module of syzygies
- ▶ a way to test if any module monomial is the leading term of a syzygy (trivial or not)

Results:

- ▶ The algorithm enumerates such a signature Gröbner basis
- ▶ The algorithm terminates iff the ideal admits a finite signature Gröbner basis
- ▶ This implies that the ideal admits a finite GB and a finite “basis of non-trivial syzygies” \mathcal{H}
- ▶ **Conjecture:** the converse holds

This is the first algorithm producing an effective representation of some modules of syzygies in the free algebra!

What we have

- ▶ Toy implementation in Mathematica
- ▶ Part of the package `OperatorGB`, available at <https://clemenshofstadler.com/software/>
- ▶ Too slow to report on timings

Particularity

- ▶ The F5 criterion is necessary to maximize the chances of the algorithm terminating
- ▶ The PoT ordering is not fair
- ▶ The F5 criterion is **expensive!** (quadratic in the size of \mathcal{G})

Conclusion of part 2

This work

- ▶ Signature-based algorithm for enumerating signature Gröbner bases in the free algebra
- ▶ Terminates whenever a finite signature Gröbner basis exists
- ▶ Unlike the commutative case, taking care of trivial syzygies is more than an optimization
- ▶ Effective and finite representation of the module of syzygies in some non-trivial cases

Open questions and future directions

- ▶ Improve implementation
- ▶ Conjecture on characterization of existence of finite signature Gröbner basis
- ▶ Free algebra over \mathbb{Z} ? (worse than the worst of both worlds)
- ▶ Application to the computation of short representations
- ▶ Computations in quotients of the algebra

More details and references

- ▶ Hofstadler and Verron, *Signature Gröbner bases, bases of syzygies and cofactor reconstruction in the free algebra*, [ArXiv:2107.14675](https://arxiv.org/abs/2107.14675)