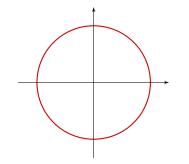
# Parametrizing rational algebraic curves using integral bases

Based on a 1994 paper by Mark Van Hoeij

Thibaut Verron

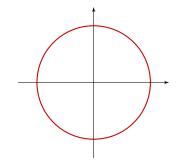
Johannes Kepler University, Institute for Algebra, Linz, Austria

22 October 2020



$$\{(x, y) \in \mathbb{R}^2 : x^2 + y^2 = 1\}$$

Implicit representation

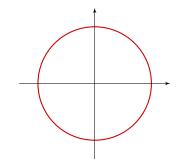


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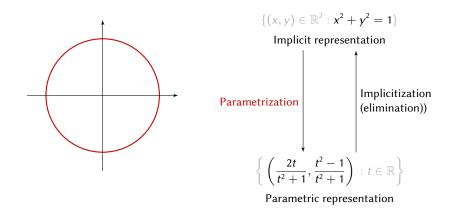
Implicit representation

 $\left\{\left(\frac{2t}{t^2+1},\frac{t^2-1}{t^2+1}\right)\,:\,t\in\mathbb{R}\right\}$ 

Parametric representation

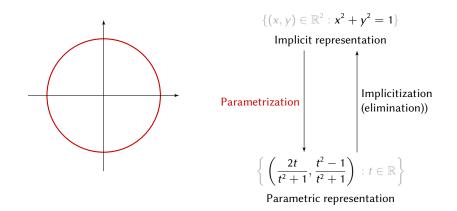


Parametric representation



Parametrization algorithms:

- Sendra, Winkler 1991, 1997
- Van Hoeij 1994, 1996
- Sendra 2002...



Parametrization algorithms:

- Sendra, Winkler 1991, 1997
- ► Van Hoeij 1994, 1996: use integral bases
- Sendra 2002...

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- Let  $\beta \in K$ , there exists  $a_i, b_i \in \mathbb{Z}$ ,  $d \in \mathbb{N}$  such that

$$\beta^{d} = \frac{a_{0}}{b_{0}} + \frac{a_{1}}{b_{1}}\beta + \dots + \frac{a_{d-1}}{b_{d-1}}\beta^{d-1}$$

► The monic minimal polynomial of  $\beta$  is  $\mu_{\beta} = X^d - \frac{a_{d-1}}{b_{d-1}}X^{d-1} - \dots - \frac{a_0}{b_0} \in \mathbb{Q}[X]$ (with *d* minimal)

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- The set  $\mathcal{O}_K$  of integral elements of K is called the ring of integers of K

Recall:  $\beta \in \mathcal{O}_{\mathcal{K}} \iff$  its monic minimal polynomial has coefficients in  $\mathbb{Z}$ 

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• Let 
$$K = \mathbb{Q}[\sqrt{5}]$$
, then  $\varphi = \frac{1+\sqrt{5}}{2}$  is integral with  $\varphi^2 - \varphi - 1 = 0$  and  $\mathcal{O}_K = \mathbb{Z}[\varphi]$ 

- Let  $K = \mathbb{Q}(\alpha) = \mathbb{Q}[X]/\langle f \rangle$  be a finite extension of  $\mathbb{Q}$  of degree *n*
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- Integral bases can be effectively computed (Trager, Van Hoeij)

**66** Let  $K = \mathbb{Q}[X]/\langle f \rangle$  be a finite extension of  $\mathbb{Q}$  with degree n. An element  $\beta \in K$  has a monic minimal polynomial  $\mu \in \mathbb{Q}[X]$ , and  $\beta$  is integral if  $\mu \in \mathbb{Z}[X]$ .

The set of integral elements in K is denoted by  $\mathcal{O}_K$ , it is a free  $\mathbb{Z}$ -module with rank n. An integral basis of K is a basis of  $\mathcal{O}_K$  as a  $\mathbb{Z}$ -module.

Let  $\mathcal{B} = \{1, b_1, \dots, b_{n-1}\}$  be an integral basis of K and  $\beta \in K$ .  $\beta$  is integral if and only if all its coefficients in  $\mathcal{B}$  lie in  $\mathbb{Z}$ .

"

### Integral bases of function fields

**66** Let  $K = k(X)[Y]/\langle f \rangle$  be a finite extension of k(X) with degree *n*. An element  $\beta \in K$  has a monic minimal polynomial  $\mu \in k(X)[Y]$ , and  $\beta$  is integral if  $\mu \in k[X][Y]$ .

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- A local integral basis of K at X = x is a basis  $\mathcal{B} = (1, \alpha_1, \dots, \alpha_{n-1})$  of K such that
  - All  $\alpha_i$  are locally integral at x
  - ▶  $\beta \in K$  is locally integral at x iff its coeffs in  $\mathcal{B}$  do not have X x at the denominator

Data:  $f(X, Y) \in k[X, Y]$  irreducible,  $C = \{(x, y) : f(x, y) = 0\}$ 

Goal: find  $x(T), y(T) \in k(T)$  such that

• for almost all  $t \in k$ ,  $(x(t), y(t)) \in C$ 

▶ for almost all  $(x, y) \in C$ , there exists  $t \in k$  such that x = x(t), y = y(t)

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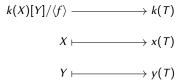
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There is a morphism of fields:

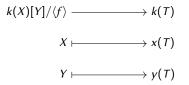


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There is an injective morphism of fields:

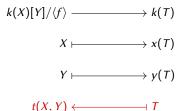


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There is an isomorphism of fields:

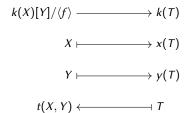


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Goal: find  $x(T), y(T) \in k(T)$  and  $t(X, Y) \in k(X)[Y]/\langle f \rangle$  such that

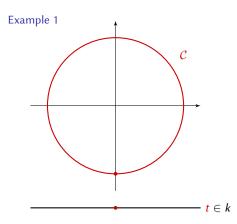
- f(x(T), y(T)) = 0 in k(T)
- $\blacktriangleright K(t(X,Y)) = K(X)[Y]/\langle f \rangle$

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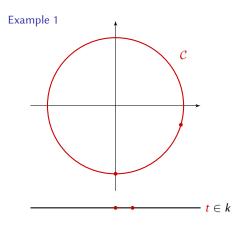


Such a t(X, Y) is called a parameter for the curve.

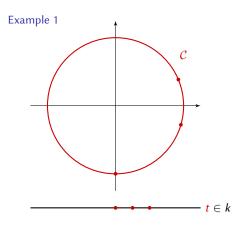
#### Characterization:



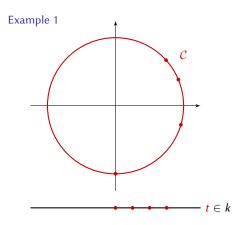
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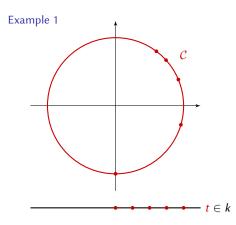
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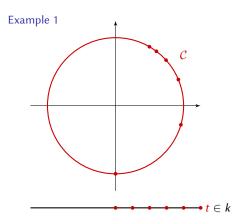
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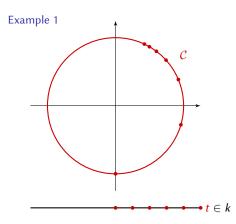
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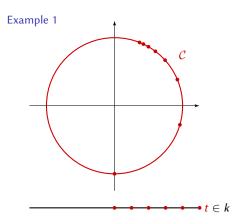
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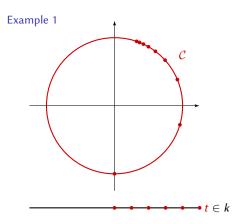
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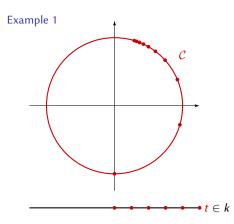
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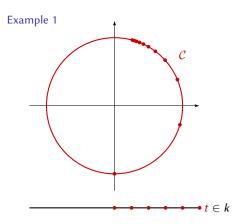
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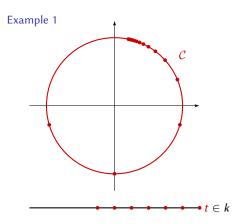
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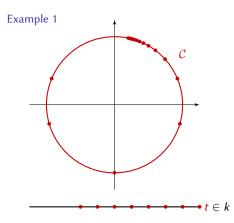
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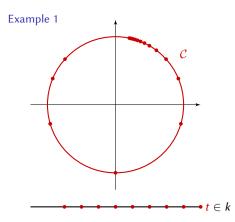
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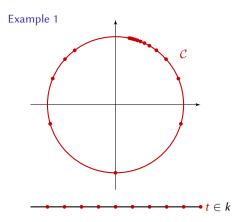
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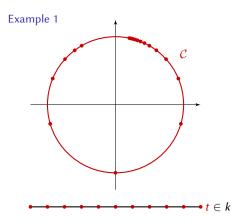
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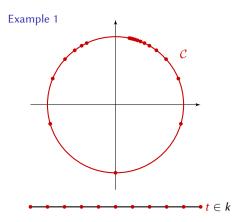
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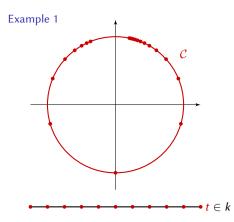
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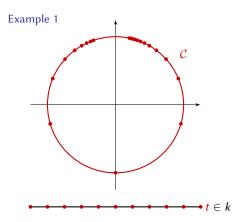
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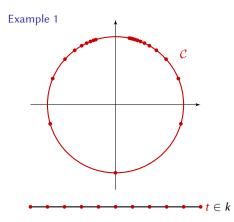
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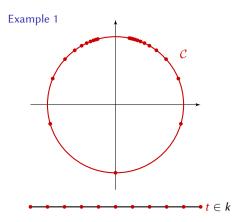
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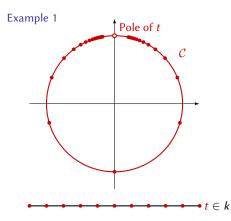
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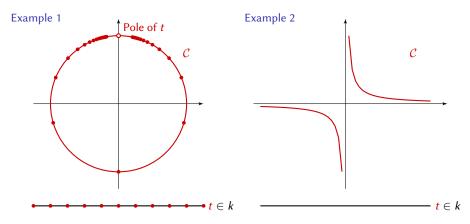


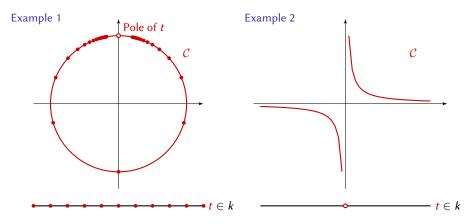
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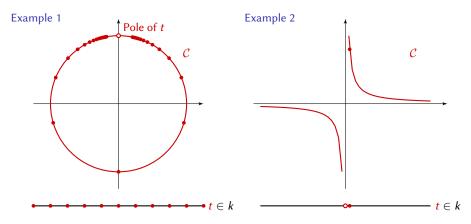


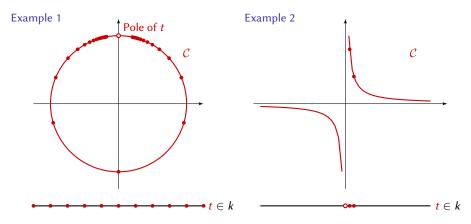
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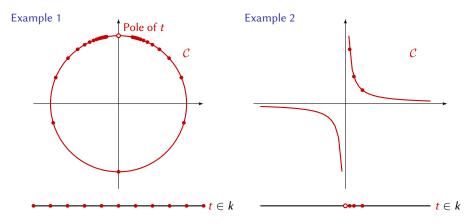


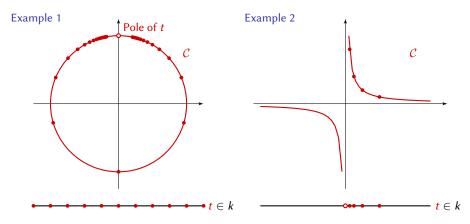


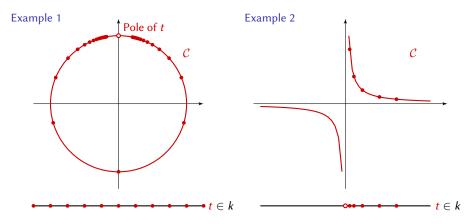


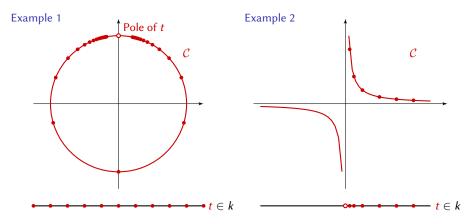


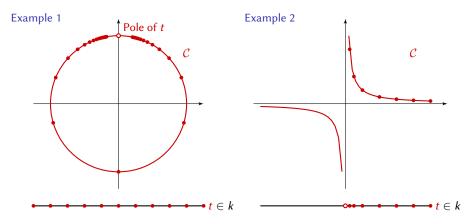


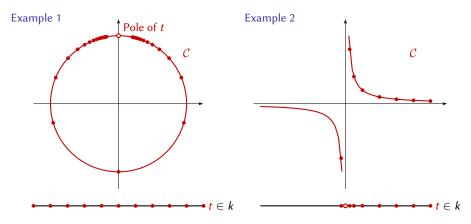


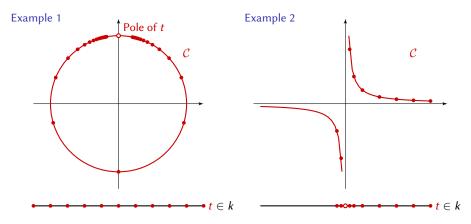


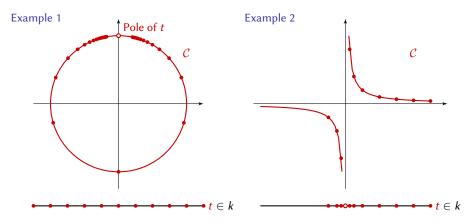


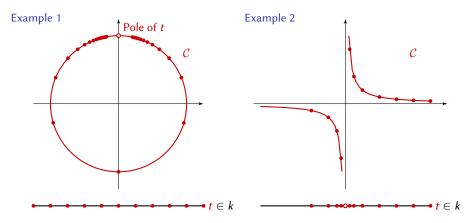


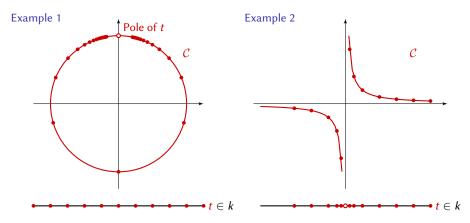


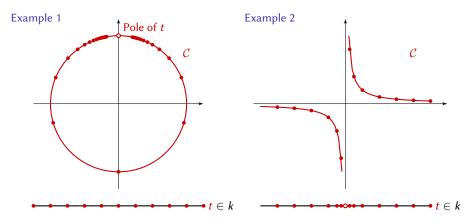


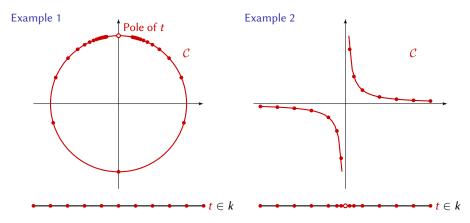


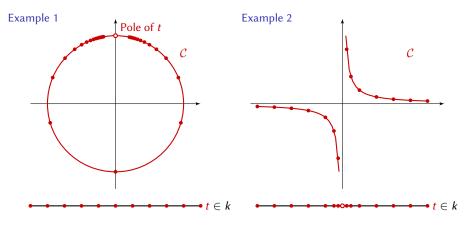






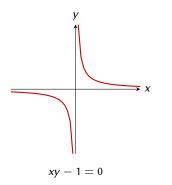




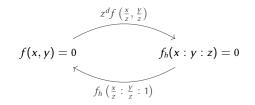


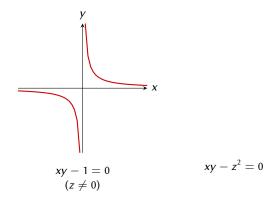
Where is the pole of *t*?

f(x,y)=0

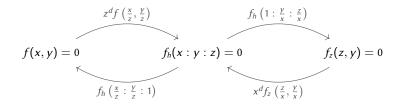


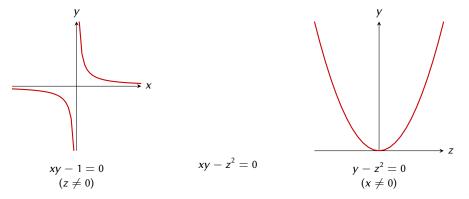
### Points at infinity



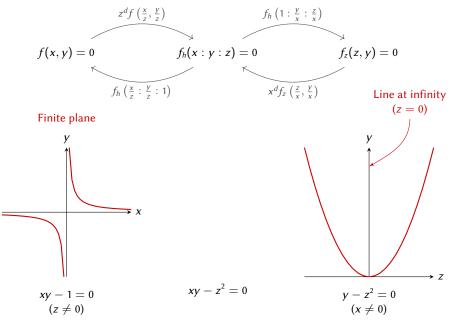


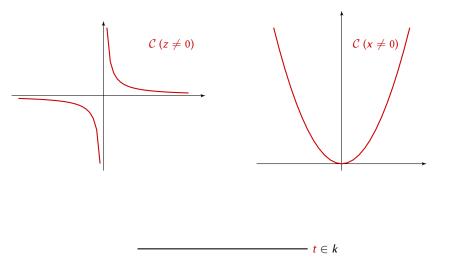
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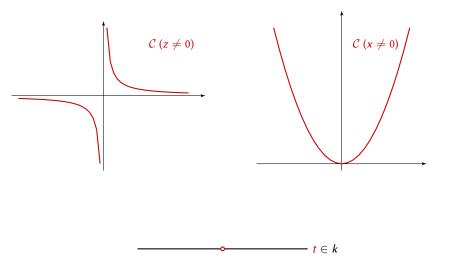


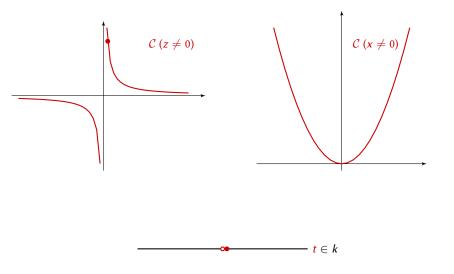


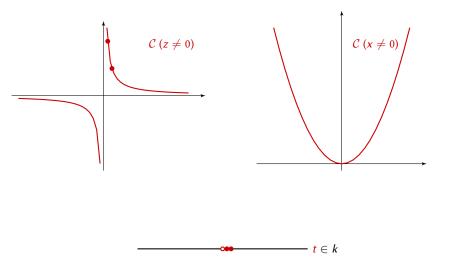
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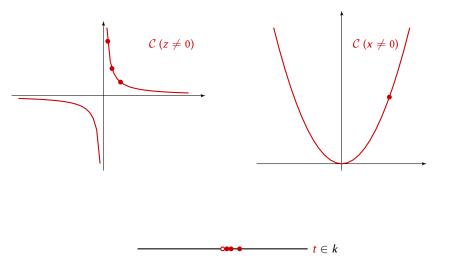


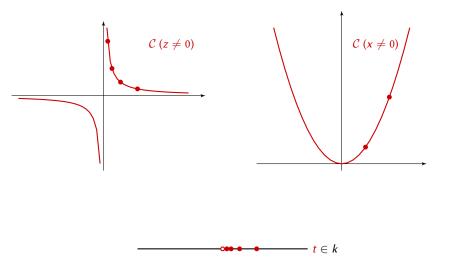


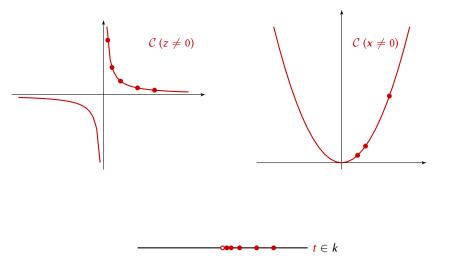


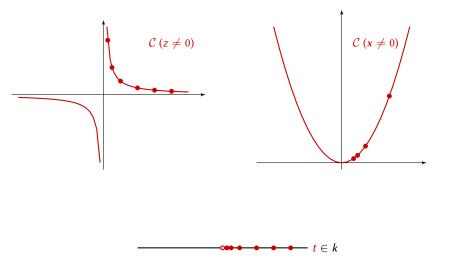


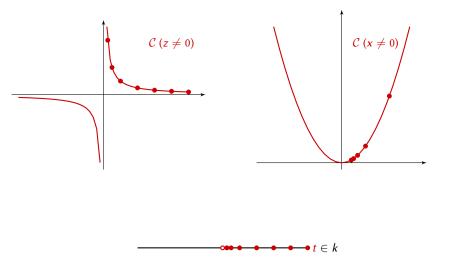


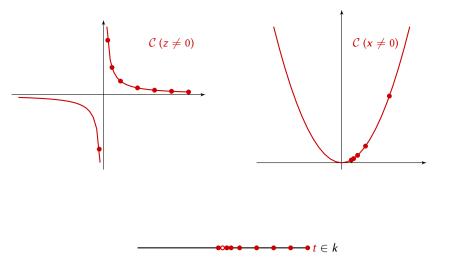


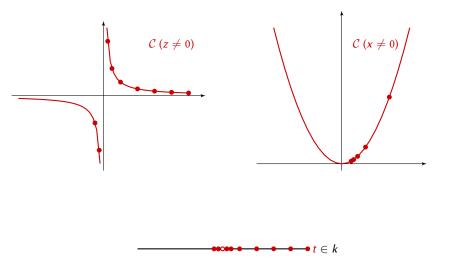


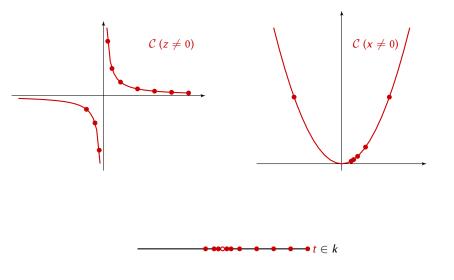


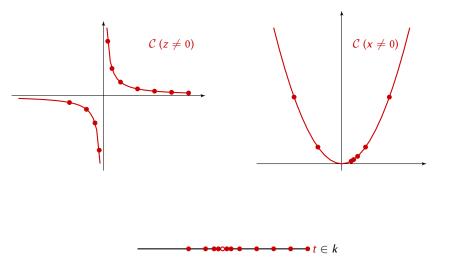


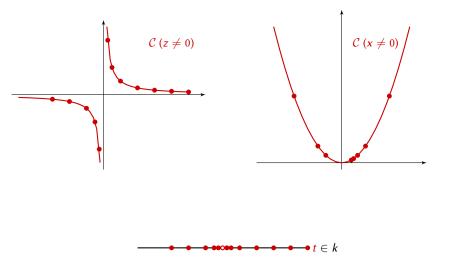


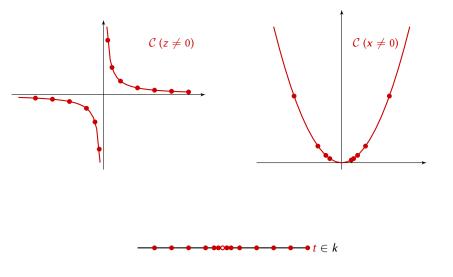


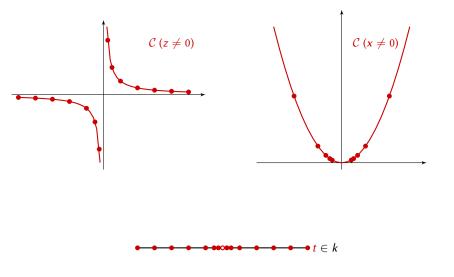


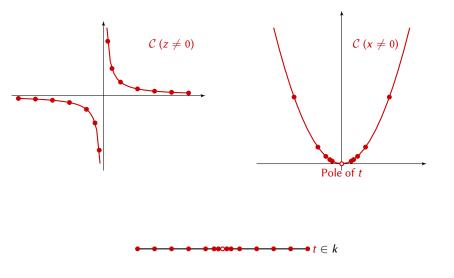












Input: a polynomial  $f \in k[X, Y]$  defining a curve COutput:  $t(X, Y) \in k(X)[Y]/\langle f \rangle$  parameter for C

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- Integral bases tell us which functions do not have poles
- ... but only on finite parts
- ... and we need a function with 1 pole

Split the projective plane into:

- The finite plane  $A := \{z \neq 0\}$
- Part of the line at infinity  $B := \{x \neq 0, z = 0\}$

## • The last point at infinity $C := \{(0:1:0)\}$

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#### Algorithm:

1. Find a function *P* with 1 pole on the finite plane *A* 

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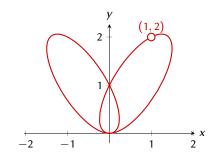
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- 4. Solve them to find Q such that Q has no pole on A and P + Q has no pole on B

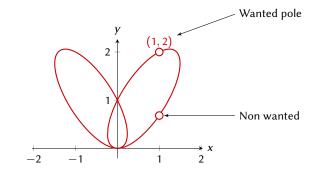
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- 5. P + Q is our parameter t

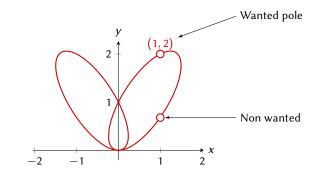


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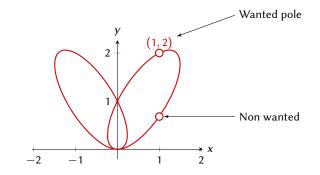


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#### Construction

1. Write 
$$f(x, Y) = (Y - y)g(Y)$$
 with  $g(y) \neq 0$ 

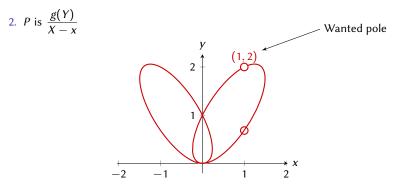


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### 2. Equations characterizing functions with no pole in A

- 1. Compute an integral basis  $b_0, \ldots, b_{n-1}$  of  $k(x)[y]/\langle f \rangle$
- 2. *Q* does not have a pole in *A* iff  $Q \in k[x]b_0 + \cdots + k[x]b_{n-1}$

3. Write an Ansatz  $Q = \frac{\sum_{i+j \le N} a_{ij} x^i y^j}{D(x)}$  where  $N \in \mathbb{N}$  and  $D \in k[x]$  are "sufficiently big"

- 4. Multiply out the denominators
- 5. Use reductions (e.g. ala Gröbner) to write  $DQ = \bullet(x) Db_0 + \bullet(x) Db_{n-1} + r(x, y)$
- 6. The coefficients of r are linear in the  $a_{ij}$ , set them to 0 and obtain a system of equations

- 1. Compute an local integral basis  $c_0, \ldots, c_{n-1}$  of  $k(z)[y]/\langle f_z \rangle$
- 2. P + Q does not have a pole in  $B \setminus A$  iff  $P + Q \in k[x]_{(z)}b_0 + \cdots + k[x]_{(z)}b_{n-1}$
- 3. Forget the denominators not divisible by z
- 4. Multiply out the rest of the denominators  $z^d$
- 5. Use reductions (e.g. ala Gröbner) to write  $z^d(P+Q) = \bullet(x) z^d c_0 + \bullet(x) z^d c_{n-1} + s(x, y)$
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### Full process

- 1. Split C into  $(C \cap \{z = 1\}) \cup (C \cap \{z = 0\})$
- 2. Compute *P* with exactly one pole at finite distance

3. Write an Ansatz for 
$$Q = rac{\sum_{i+j \leq N} a_{ij} x^i y^j}{D(x)}$$

- 4. Compute an integral basis of  $k(x)[y]/\langle f \rangle$
- 5. Find a linear system in the  $a_{ij}$  ensuring that Q does not have a pole at finite distance
- 6. Compute an integral basis of  $k(z)[y]/\langle f_z \rangle$
- 7. Find a linear system in the  $a_{ij}$  ensuring that P + Q does not have a pole at infinity
- 8. Solve the equations and find t = P + Q

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- 7. Find a linear system in the  $a_{ij}$  ensuring that P + Q does not have a pole at infinity
- 8. Solve the equations and find t = P + Q
- 9. Eliminate Y from the system (T t, f) and solve in X to find x(T)
- 10. Eliminate X from the system (T t, f) and solve in Y to find y(T)