# Gröbner bases for Tate algebras 

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## Géométrie Algébrique, Géométrie Analytique

| Analytic geometry | Analytic series |
| :---: | :---: |
| $\downarrow$ GAGA (over $\mathbb{C})$ |  |
| Algebraic geometry | Polynomials |

## Géométrie Algébrique, Géométrie Analytique ... over p-adics?

Analytic geometry ..... Analytic series
$\uparrow$ GAGA (over $\mathbb{C}$ )
Algebraic geometry
$\qquad$
Polynomials
$\mid \uparrow$
Tate's theory (over $\mathbb{Q}_{p}$ )??????

## Rigid geometry and Tate series

Analytic geometry Analytic series
GAGA (over $\mathbb{C}$ )
Algebraic geometry

$\qquad$
Polynomials
Non archimedean case: $\mathbb{Q}_{p}$
Rigid geometryTate series
Needed for algorithmic rigid geometry:$\square$ Basic arithmetic for Tate series$\square$ Ideal operations for Tate series
"Cut and patch" rigid varieties

## Rigid geometry and Tate series

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$\square$ Ideal operations for Tate series
$\square$ "Cut and patch" rigid varieties

## Valued fields and rings: summary of basic definitions

Valuation: function val : $k \rightarrow \mathbb{Z} \cup\{\infty\}$ with:
$\checkmark \operatorname{val}(a)=\infty \Longleftrightarrow a=0$


- $\operatorname{val}(a b)=\operatorname{val}(a)+\operatorname{val}(b)$ $\begin{array}{lllll}\circ & \circ & \ddots & \ddots & ? \\ \bullet & \ddots & \ddots & \bullet & ? \\ \circ & \circ & \circ & \circ & \circ \\ \circ & \circ & \circ & \circ & \circ \\ a+b & =a+b & a+b=a+b\end{array}$
- $\operatorname{val}(a+b) \geq \min (\operatorname{val}(a), \operatorname{val}(b))$



## Examples of valued fields and rings

Ring $K^{\circ} \underset{\text { val } \geq 0}{\rightleftarrows}$ Frac $K \quad$ Uniformizer $\pi \quad$ Residue field $K^{\circ} / \pi \quad$ Complete

| $\mathbb{Z}_{(p)}$ | $\mathbb{Q}$ | $p$ prime | $\mathbb{F}_{p}$ | $\times$ |
| :---: | :---: | :---: | :---: | :---: |
| $\mathbb{Z}_{p}$ | $\mathbb{Q}_{p}$ | $p$ prime | $\mathbb{F}_{p}$ | $\checkmark$ |
| $\mathbb{C}[x]_{(x-\alpha)}$ | $\mathbb{C}(x)$ | $x-\alpha$ | $\mathbb{C}$ | $\times$ |
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- Metric and topology defined by " $a$ is small" $\Longleftrightarrow$ "val $(a)$ is large"
- Complete rings and fields: $\mathbb{Z}_{p}, \mathbb{Q}_{p}, \mathbb{C}[[x-\alpha]], \mathbb{C}((x-\alpha))$
- In a complete valuation ring, a series is convergent iff its general term goes to 0 :

$$
\begin{gathered}
\vdots \\
\vdots \\
\sum_{n=0}^{0} a_{n}=a_{0}
\end{gathered}
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$$
\begin{array}{ccc}
\bullet & \ddots & \ddots \\
\bullet & \ddots & \circ \\
\sum_{n=0}^{\infty} a_{n}=a_{0}+a_{1}+a_{2}+a_{3}+\cdots
\end{array}
$$

## Examples of valued fields and rings



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## Tate Series

$$
\mathbf{X}=X_{1}, \ldots, X_{n}
$$

## Definition

- $K\{\mathbf{X}\}^{\circ}=$ ring of series in $\mathbf{X}$ with coefficients in $K^{\circ}$ converging for all $\mathbf{x} \in K^{\circ}$ $=$ ring of power series whose general coefficients tend to 0


## Examples

- Polynomials (finite sums are convergent)
- Tate series: $\sum_{i, j=0}^{\infty} \pi^{i+j} X^{i} Y^{j}=1+\pi X+\pi Y+\pi^{2} X^{2}+\pi^{2} X Y+\pi^{2} Y^{2}+\cdots$

- Not a Tate series: $\sum_{i=0}^{\infty} X^{i}=\stackrel{\bullet}{1}+\stackrel{\bullet}{1} X+\stackrel{\bullet}{1} X^{2}+\stackrel{\bullet}{1} X^{3}+\cdots$
- $F \in \mathbb{C}[[Y]][[\mathbf{X}]]$ is a Tate series $\Longleftrightarrow F \in \mathbb{C}[\mathbf{X}][[Y]]$


## Gröbner bases in finite precision

Gröbner bases:

- Multi-purpose tool for ideal arithmetic in polynomial algebras
- Membership testing, elimination, intersection...
- Uses successive (terminating) reductions

Main challenges with finite precision:

- Propagation of rounding errors
- Impossibility of zero-test


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Main challenges with finite precision:

- Propagation of rounding errors
- A priori not a problem in a valued ring
- Impossibility of zero-test
- Consider larger coefficients first
- Non-terminating reductions
- Theory: replace terminating with convergent everywhere
- Practice: we always work with bounded precision


## Term ordering for Tate algebras

$$
\mathbf{X}^{\mathbf{i}}=X_{1}^{i_{1}} \cdots X_{n}^{i_{n}}
$$

- Starting from a usual monomial ordering $1<_{m} \mathbf{X}^{\mathbf{i}_{1}}<_{m} \mathbf{X}^{\mathbf{i}_{2}}<_{m} \ldots$
- We define a term ordering putting more weight on large coefficients

Usual term ordering:


Term ordering for Tate series:
$\cdots<\pi^{2} \mathbf{X}^{\mathbf{i}_{3}}<\pi \cdot 1<\pi<{ }_{\substack{ \\<_{m}}}$

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- Tate series always have a leading term



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Usual term ordering:


Term ordering for Tate series:


- It has infinite descending chains, but they converge to zero
- Tate series always have a leading term
- Isomorphism $K\{\mathbf{X}\}^{\circ} /\langle\pi\rangle \simeq \mathbb{F}[\mathbf{X}]$

$$
f \mapsto \bar{f}
$$

compatible with the term order

$$
\begin{aligned}
& \left.\begin{array}{cc}
\mathrm{LT}(f) \\
\vdots & \ddots \\
\vdots & \ddots
\end{array}\right) \\
& \bar{f}=\overline{a_{2}} X Y+\overline{a_{1}} X \\
& a_{2} X Y+a_{1} X \\
& \vdots
\end{aligned}
$$

## Gröbner bases for Tate series

- Standard definition once the term order is defined:
$G$ is a Gröbner basis of $I \Longleftrightarrow$ for all $f \in I$, there is $g \in G$ s.t. $\operatorname{LT}(g)$ divides $\operatorname{LT}(f)$
- Standard equivalent characterizations:

1. $G$ is a Gröbner basis of I
2. for all $f \in I, f$ is reducible modulo $G$
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If $I$ is saturated:

$$
\pi f \in I \Longrightarrow f \in I
$$

4. $\bar{G}$ is a Gröbner basis of $\bar{l}$ in the sense of $\mathbb{F}[\mathbf{X}]$

## How does it work? $(4 \Longrightarrow 3)$

1. Start with $f \in I$, we can assume that $f$ has valuation 0
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$\bar{G}$ is a Gröbner basis of $\bar{I}$

$$
\stackrel{\bullet}{f}-\stackrel{\bullet}{q_{1}} \stackrel{\bullet}{g_{1}}-\stackrel{\bullet}{q_{2}} \stackrel{\bullet}{g_{2}}-\cdots-q_{r} \stackrel{\bullet}{\bar{g}_{r}}=0
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$$
f-\sum_{i=1}^{r} q_{i} g_{i}=f-\sum_{i=1}^{r} q_{i} \frac{\bar{g}_{i}}{}+\sum_{i=1}^{r} q_{i}\left(\frac{\stackrel{g}{g_{i}}}{}-g_{i}\right)
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$$

4. So we have a sequence of reductions

$$
\begin{aligned}
f-\sum_{i=1}^{r} q_{i} g_{i} & =f-\sum_{i=1}^{r} q_{i} \stackrel{\bullet}{g}_{i} \\
& \\
& =\sum_{i=1}^{r} q_{i}\left(\overline{g_{i}}-g_{i}\right) \\
& f-\bar{f}+\sum_{i=1}^{r}{ }_{q}\left(\overline{g_{i}}-g_{i}\right)
\end{aligned}
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$$

$$
=\begin{array}{cc}
\vdots \\
f-\bar{f} & +\sum_{i=1}^{r} q_{i}\left(\bar{g}_{i}-g_{i}\right) \\
\vdots \\
\vdots
\end{array}=\pi \cdot \frac{\vdots}{\vdots}
$$

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\overline{\stackrel{\rightharpoonup}{f}}-\stackrel{\bullet}{q_{1}} \stackrel{\bullet}{g_{1}}-\stackrel{\bullet}{q_{2}} \overline{g_{2}}-\cdots-\stackrel{\bullet}{q_{r}} \frac{\bullet}{\bar{g}_{r}}=0
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$$
=f-\bar{f}+\sum_{i=1}^{r} \stackrel{\bullet}{q_{i}}\left(\overline{g_{i}}-g_{i}\right)=\stackrel{\ominus}{\square}=\pi \cdot f_{1}
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- Every Tate ideal has a finite Gröbner basis
- It can be computed using the usual algorithms (reduction, Buchberger, $\mathrm{F}_{4}$ )
- In practice, the algorithms run with finite precision and without loss of precision


## Buchberger's algorithm

1. $G \leftarrow\left\{f_{1}, \ldots, f_{m}\right\}$
2. $B \leftarrow\left\{\mathrm{~S}\right.$-pol of $g_{1}$ and $g_{2}$ for $\left.g_{1}, g_{2} \in G\right\}$
3. While $B \neq \varnothing$ :
4. Pop $v$ from $B$
5. $w \leftarrow$ reduction of $v$ modulo $G$
6. If $w=0$ :
7. Pass
8. Else:
9. $B \leftarrow B \cup\{\mathrm{~S}$-pol of $w$ and $g$ for $g \in G\}$
10. $G \leftarrow G \cup\{w\}$
11. Return $G$

## What about valued fields?

- Recall: $K=$ fraction field of $K^{\circ}$
$\mathbb{Q}_{p}$
$\mathbb{C}((X))$

- Elements are $\frac{b}{\pi^{k}}$ with $b \in K^{\circ}, k \in \mathbb{N}$
- The valuation can be negative but not infinite

$$
\begin{aligned}
& a=a_{-3} \pi^{-3}+a_{-2} \pi^{-2}+\ldots \\
& \text { \} } \operatorname{val}(a)=-3
\end{aligned}
$$

- Same metric, same topology as $K^{\circ}$


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- Same metric, same topology as $K^{\circ}$
- Tate series can be defined as in the integer case
- Same order, same definition of Gröbner bases
- Main difference: $\pi X$ now divides $X$

- Another surprising equivalence

1. $G$ is a normalized $G B$ of $I \quad \forall g \in G, \mathrm{LC}(g)=1$ (in part., $G \subset K\{\mathbf{X}\}^{\circ}$ )
2. $G \subset K\{\mathbf{X}\}^{\circ}$ is a $G B$ of $I \cap K\{\mathbf{X}\}^{\circ}$

- In practice, we emulate computations in $K\{\mathbf{X}\}^{\circ}$ in order to avoid losses of precision (and the ideal is saturated)


## Why signatures?

Problem: useless and redundant computations, infinite reductions to 0

Example with a S-polynomial

$$
p=p_{1} f_{1}+p_{2} f_{2}+\cdots+p_{k} f_{k}+\cdots+p_{m} f_{m} \quad q=q_{1} f_{1}+q_{2} f_{2}+\cdots+q_{l} f_{l}+\cdots+q_{m} f_{m}
$$

$$
\mathrm{S}-\operatorname{Pol}(p, q)=\mu p-\nu q
$$

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- $1^{\text {st }}$ idea: keep track of the representation of the ideal elements [Möller, Mora, Traverso 1992]

Example with a S-polynomial

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p=p_{1} f_{1}+p_{2} f_{2}+\cdots+p_{k} f_{k}+\cdots+p_{m} f_{m} & q=q_{1} f_{1}+q_{2} f_{2}+\cdots+q_{l} f_{l}+\cdots+q_{m} f_{m} \\
\mathbf{p}=p_{1} \mathbf{e}_{1}+p_{2} \mathbf{e}_{2}+\cdots+p_{k} \mathbf{e}_{k}+\cdots+p_{m} \mathbf{e}_{m} & \mathbf{q}=q_{1} \mathbf{e}_{1}+q_{2} \mathbf{e}_{2}+\cdots+q_{l} \mathbf{e}_{l}+\cdots+q_{m} \mathbf{e}_{m}
\end{array}
$$

$\mathrm{S}-\operatorname{Pol}(p, q)=\mu p-\nu q$
$\operatorname{S-Pol}(\mathbf{p}, \mathbf{q})=\mu\left(p_{1} \mathbf{e}_{1}+\cdots+p_{k} \mathbf{e}_{k}+\cdots+p_{m} \mathbf{e}_{m}\right)-\nu\left(q_{1} \mathbf{e}_{1}+\cdots+q_{l} \mathbf{e}_{l}+\cdots+q_{m} \mathbf{e}_{m}\right)$

## Why signatures?

Problem: useless and redundant computations, infinite reductions to 0

- $1^{\text {st }}$ idea: keep track of the representation of the ideal elements [Möller, Mora, Traverso 1992]
- $2^{\text {nd }}$ idea: the largest term of the representation is enough [Faugère 2002 ; Gao, Volny, Wang 2010 ; Arri, Perry 2011... Eder, Faugère 2017]


## Example with a S-polynomial

$$
\begin{aligned}
& p=p_{1} f_{1}+p_{2} f_{2}+\cdots+p_{k} f_{k}+\cdots+0 f_{m} \\
& \mathbf{p}=p_{1} \mathbf{e}_{1}+p_{2} \mathbf{e}_{2}+\cdots+p_{k} \mathbf{e}_{k}+\cdots+0 \mathrm{e}_{m}
\end{aligned}
$$

$$
q=q_{1} f_{1}+q_{2} f_{2}+\cdots+q_{l} f_{l}
$$

$$
\mathbf{q}=q_{1} \mathbf{e}_{1}+q_{2} \mathbf{e}_{2}+\cdots+q_{l} \mathbf{e}_{l}
$$

$$
=\mathrm{LT}\left(q_{l}\right) \mathbf{e}_{l}+\text { smaller terms }
$$

$$
\begin{aligned}
\operatorname{S-PoI}(p, q) & =\mu p-\nu q \\
\operatorname{S-Pol}(\mathbf{p}, \mathbf{q}) & =\mu\left(p_{1} \mathbf{e}_{1}+\cdots+p_{k} \mathbf{e}_{k}+\cdots+0 \mathrm{e}_{m}\right)-\nu\left(q_{1} \mathbf{e}_{1}+\cdots+q_{l} \mathbf{e}_{l}\right. \\
& =\mu \mathrm{LT}\left(p_{k}\right) \mathbf{e}_{k}-\nu \operatorname{LT}\left(q_{l}\right) \mathbf{e}_{l}+\text { smaller terms }
\end{aligned}
$$

## Why signatures?

Problem: useless and redundant computations, infinite reductions to 0

- $1^{\text {st }}$ idea: keep track of the representation of the ideal elements [Möller, Mora, Traverso 1992]
- $2^{\text {nd }}$ idea: the largest term of the representation is enough [Faugère 2002 ; Gao, Volny, Wang 2010 ; Arri, Perry 2011... Eder, Faugère 2017]


## Example with a S-polynomial

$$
\begin{aligned}
p & =p_{1} f_{1}+p_{2} f_{2}+\cdots+p_{k} f_{k}+\cdots+0 f_{m} \\
\mathbf{p} & =p_{1} \mathbf{e}_{1}+p_{2} \mathbf{e}_{2}+\cdots+p_{k} \mathbf{e}_{k}+\cdots+0 \mathrm{e} \\
& =\operatorname{LT}\left(p_{k}\right) \mathbf{e}_{k}+\text { smaller terms }
\end{aligned}
$$

$$
\begin{aligned}
q & =q_{1} f_{1}+q_{2} f_{2}+\cdots+q_{l} f_{l}+ \\
\mathbf{q} & =q_{1} \mathbf{e}_{1}+q_{2} \mathbf{e}_{2}+\cdots+q_{l} \mathbf{e}_{l}+ \\
& =\operatorname{LT}\left(q_{l}\right) \mathbf{e}_{l}+\text { smaller terms }
\end{aligned}
$$

$$
\begin{aligned}
\operatorname{S-Pol}(p, q) & =\mu p-\nu q \\
\operatorname{S-Pol}(\mathbf{p}, \mathbf{q}) & =\mu\left(p_{1} \mathbf{e}_{1}+\cdots+p_{k} \mathbf{e}_{k}+\cdots+0 \mathrm{e}_{m}\right)-\nu\left(q_{1} \mathbf{e}_{1}+\cdots+q_{l} \mathbf{e}_{l}\right. \\
& =\mu \mathrm{LT}\left(p_{k}\right) \mathbf{e}_{k}-\nu \mathrm{LT}\left(q_{l}\right) \mathbf{e}_{l}+\text { smaller terms } \\
& =\mu \mathrm{LT}\left(p_{k}\right) \mathbf{e}_{k}+\text { smaller terms } \quad \text { if } \mu \mathrm{LT}\left(p_{k}\right) \mathbf{e}_{k} \ngtr \nu \mathrm{LT}\left(q_{l}\right) \mathbf{e}_{l}
\end{aligned}
$$

## Why signatures?

Problem: useless and redundant computations, infinite reductions to 0

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\end{aligned}
$$

```
s}(p)= signature of 
```

$$
\begin{align*}
& \operatorname{S-Pol}(p, q)=\mu p-\nu q \\
& \operatorname{S-Pol}(\mathbf{p}, \mathbf{q})=\mu\left(p_{1} \mathbf{e}_{1}+\cdots+p_{k} \mathbf{e}_{k}+\cdots+0 \mathrm{e}_{m}\right)-\nu\left(q_{1} \mathbf{e}_{1}+\cdots+q_{l} \mathbf{e}_{l}\right. \tag{m}
\end{align*}
$$

$$
=\mu \mathrm{LT}\left(p_{k}\right) \mathbf{e}_{k}-\nu \mathrm{LT}\left(q_{l}\right) \mathbf{e}_{l}+\text { smaller terms }
$$

$$
=\mu \mathrm{LT}\left(p_{k}\right) \mathbf{e}_{k}+\text { smaller terms } \quad \text { if } \mu \mathrm{LT}\left(p_{k}\right) \mathbf{e}_{k} \geqslant \nu \mathrm{LT}\left(q_{l}\right) \mathbf{e}_{l} \quad \text { Regular S-polynomial }
$$

## Buchberger's algorithm, with signatures

1. $G \leftarrow\left\{\left(\mathbf{e}_{1}, f_{1}\right), \ldots,\left(\mathbf{e}_{m}, f_{m}\right)\right\}$
2. $B \leftarrow\left\{\mathrm{~S}\right.$-pol of $p_{1}$ and $p_{2}$ for $\left.p_{1}, p_{2} \in G\right\}$
3. While $B \neq \varnothing$ :
4. $\operatorname{Pop}(\mathbf{u}, v)$ from $B$ with smallest $\mathbf{u}$
5. $\quad w \leftarrow$ regular reduction of $(\mathbf{u}, v)$ modulo $G$
6. If $w=0$ :
7. Pass
8. Else:
9. $B \leftarrow B \cup\{$ regular S-pol of $(\mathbf{u}, w)$ and $p$ for $p \in G\}$
10. $G \leftarrow G \cup\{(\mathbf{u}, w)\}$
11. Return $G$

## Buchberger's algorithm, with signatures

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Need to order the signatures!
5. $\quad w \leftarrow$ regular reduction of $(\mathbf{u}, v)$ modulo $G$
6. If $w=0$ :
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8. Else:
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## Signature orderings

## Signature orderings:

- Necessary for correctness and termination of the algorithms
- Different choices lead to different performances

Examples (polynomial case):

- $\mu \mathbf{e}_{i}<{ }_{\text {Pote }} \nu \mathbf{e}_{j} \Longleftrightarrow i<j$, or if equal, $\mu<\nu$
Position over Term
$-\mu \mathbf{e}_{i}<_{\text {ToP }} \nu \mathbf{e}_{j} \Longleftrightarrow \mu<\nu$, or if equal, $i<j$
Term over Position
- $\mu \mathbf{e}_{i}<_{\text {DePote }} \nu \mathbf{e}_{j} \Longleftrightarrow \operatorname{deg}(p)<\operatorname{deg}(q)$, or if equal, $i<j$, or if equal, $\mu<\nu$
Degree over Position over Term


## Signature orderings

Signature orderings:

- Necessary for correctness and termination of the algorithms
- Different choices lead to different performances

Examples (polynomial case):
$-\mu \mathbf{e}_{i}<_{\text {PoTe }} \nu \mathbf{e}_{j} \Longleftrightarrow i<j$, or if equal, $\mu<\nu$ Position over Term

- $\mu \mathbf{e}_{i}<\operatorname{ToP} \nu \mathbf{e}_{j} \Longleftrightarrow \mu<\nu$, or if equal, $i<j$ Term over Position
- Incremental
- Fails to optimize globally
- Non incremental
- More efficient by using all polynomials at once
- $\mu \mathbf{e}_{i}<_{\text {DePoTe }} \nu \mathbf{e}_{j} \Longleftrightarrow \operatorname{deg}(p)<\operatorname{deg}(q)$, or if equal, $i<j$, or if equal, $\mu<\nu$
Degree over Position over Term
- "F5-ordering" for homogeneous systems and degree order
- Avoids too high-degree calculations, still incremental
- Best of both worlds


## Buchberger's algorithm, incremental variant

1. $Q \leftarrow\left(f_{1}, \ldots, f_{m}\right)$
2. $G \leftarrow \varnothing$
3. For $f \in Q$
4. $G \leftarrow G \cup\{f\}$
5. $\quad B \leftarrow\{\mathrm{~S}$-pol of $f$ and $g$ for $g \in G\}$
6. While $B \neq \varnothing$ :
7. Pop $v$ from $B$
8. $\quad w \leftarrow$ reduction of $v$ modulo $G$
9. If $w=0$ :
10. Pass
11. Else:
12. 

$$
B \leftarrow B \cup\{S \text {-pol of } w \text { and } g \text { for } g \in G\}
$$

13. 

$$
G \leftarrow G \cup\{w\}
$$

14. Return $G$

## Signature orderings for Tate series

Signature orderings:

- Necessary for correctness and termination of the algorithms
- Different choices lead to different performances

Orders for Tate series:

- $\mu \mathbf{e}_{i}<_{\text {PoTe }} \nu \mathbf{e}_{j} \Longleftrightarrow i<j$, or if equal, $\mu<\nu$ Position over Term
- $\mu \mathbf{e}_{i}<_{\text {Top }} \nu \mathbf{e}_{j} \Longleftrightarrow \mu<\nu$, or if equal, $i<j$ Term over Position
- Incremental
- Fails to optimize globally
- Non incremental
- More efficient by using all polynomials at once


## Signature-based algorithm, PoT ordering

1. $Q \leftarrow\left(f_{1}, \ldots, f_{m}\right)$
2. $G \leftarrow \varnothing$
3. For $f \in Q$
4. $G_{S} \leftarrow\left\{(0, g): g \in G_{S}\right\} \cup\{(1, f)\}$
5. $\quad B \leftarrow\left\{\mathrm{~S}\right.$-pol of $(1, f)$ and $p$ for $\left.p \in G_{S}\right\}$
6. While $B \neq \varnothing$ :
7. $\operatorname{Pop}(u, v)$ from $B$ with smallest $u$
8. $\quad w \leftarrow$ regular reduction of $(u, v)$ modulo $G s$
9. If $w=0$ :
10. 
11. Else:
12. $B \leftarrow B \cup\left\{\right.$ regular $S$-pol of $(u, w)$ and $p$ for $\left.p \in G_{S}\right\}$
13. $G_{S} \leftarrow G_{S} \cup\{(u, w)\}$
14. $G \leftarrow\left\{v:(u, v) \in G_{S}\right\}$
15. Return $G$

## Signature-based algorithm, PoT ordering

1. $Q \leftarrow\left(f_{1}, \ldots, f_{m}\right)$
2. $G \leftarrow \varnothing$
3. For $f \in Q$
4. $G_{S} \leftarrow\left\{(0, g): g \in G_{S}\right\} \cup\{(1, f)\}$ Incremental order: only the last coefficient matters
5. $B \leftarrow\left\{\mathrm{~S}\right.$-pol of $(1, f)$ and $p$ for $\left.p \in G_{S}\right\}$
6. While $B \neq \varnothing$ :
7. $\operatorname{Pop}(u, v)$ from $B$ with smallest $u$
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10. 

Pass
11. Else:
12. $B \leftarrow B \cup\left\{\right.$ regular $S$-pol of $(u, w)$ and $p$ for $\left.p \in G_{S}\right\}$
13. $G_{S} \leftarrow G_{S} \cup\{(u, w)\}$
14. $G \leftarrow\left\{v:(u, v) \in G_{S}\right\} \quad$ Throwing away the signatures
15. Return $G$

## Signature orderings for Tate series

## Signature orderings:

- Necessary for correctness and termination of the algorithms
- Different choices lead to different performances

Orders for Tate series:

- $\mu \mathbf{e}_{i}<_{\text {PoTe }} \nu \mathbf{e}_{j} \Longleftrightarrow i<j$, or if equal, $\mu<\nu$ Position over Term
${ }^{-} \mu \mathbf{e}_{i}<\operatorname{Top} \nu \mathbf{e}_{j} \Longleftrightarrow \mu<\nu$, or if equal, $i<j$ Term over Position
- Incremental
- Fails to optimize globally
- Non incremental
- More efficient by using all polynomials at once
$-\mu \mathbf{e}_{i}<_{\text {VaPoTe }} \nu \mathbf{e}_{j} \Longleftrightarrow \operatorname{val}(p)<\operatorname{val}(q)$, or if equal, $i<j$, or if equal, $\mu<\nu$ Valuation over Position over Term
- Analogue of the F5 ordering for the valuation
- Allows to delay (or avoid) high valuation computations


## Signature-based algorithm, VoPoT ordering

1. $Q \leftarrow\left(f_{1}, \ldots, f_{m}\right)$
2. $G \leftarrow \varnothing$
3. While $\exists f \in Q$ with smallest valuation:
4. $G_{S} \leftarrow\left\{(0, g): g \in G_{S}\right\} \cup\{(1, f)\}$
5. $\quad B \leftarrow\left\{\mathrm{~S}\right.$-pol of $(1, f)$ and $p$ for $\left.p \in G_{S}\right\}$
6. While $B \neq \varnothing$ :
7. Pop $(u, v)$ from $B$ with smallest $u$
8. $w \leftarrow$ regular reduction of $(u, v)$ modulo $G s$
9. If $\operatorname{val}(w)>\operatorname{val}(f)$ :
10. $Q \leftarrow Q \cup\{w\}$
11. Else:
12. 
13. 

$$
\begin{aligned}
& \text { 12. } \quad B \leftarrow B \cup\left\{\text { regular S-pol of }(u, w) \text { and } p \text { for } p \in G_{S}\right\} \\
& \text { 13. } \\
& \text { 14. } G \leftarrow\left\{v:(u, v) \in G_{S}\right\}
\end{aligned}
$$

15. Return $G$

## Signature-based algorithm, VoPoT ordering

1. $Q \leftarrow\left(f_{1}, \ldots, f_{m}\right)$
2. $G \leftarrow \varnothing$
3. While $\exists f \in Q$ with smallest valuation: Order by valuation first
4. $G_{S} \leftarrow\left\{(0, g): g \in G_{S}\right\} \cup\{(1, f)\} \quad$ then incremental
5. $\quad B \leftarrow\left\{\mathrm{~S}\right.$-pol of $(1, f)$ and $p$ for $\left.p \in G_{S}\right\}$
6. While $B \neq \varnothing$ :
7. $\quad \operatorname{Pop}(u, v)$ from $B$ with smallest $u$
8. $w \leftarrow$ regular reduction of $(u, v)$ modulo $G s$
9. If $\operatorname{val}(w)>\operatorname{val}(f)$ :
10. $Q \leftarrow Q \cup\{w\}$
11. Else:
12. $B \leftarrow B \cup\left\{\right.$ regular $S$-pol of $(u, w)$ and $p$ for $\left.p \in G_{S}\right\}$
13. $G_{S} \leftarrow G_{S} \cup\{(u, w)\}$
14. $G \leftarrow\left\{v:(u, v) \in G_{s}\right\}$
15. Return $G$

## Conclusion and perspectives

What we presented here

- Tate series = formal power series appearing in algebraic geometry
- Definitions of Gröbner bases for Tate series
- Algorithms for computing those Gröbner bases (also with signatures)
- Data structure and algorithms implemented in Sage


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## Extensions

- Tate series with convergence radius different from 1 (integer or rational log)


## Generalizing the convergence condition: log-radii in $\mathbb{Z}^{n}$

## Definition

$$
\mathbf{X}^{\mathbf{i}}=X_{1}^{i_{1}} \cdots X_{n}^{i_{n}}
$$

- $K\{\mathbf{X}\}=$ ring of power series converging for all $\mathbf{x} \in K^{\circ}$
$=$ ring of power series whose general coefficients tend to 0
$=$ ring of power series $\sum a_{\mathbf{i}} \mathbf{X}^{\mathbf{i}}$ with $\operatorname{val}\left(a_{\mathbf{i}}\right) \xrightarrow[|\mathbf{i}| \rightarrow \infty]{\longrightarrow}+\infty$


Generalizing the convergence condition: log-radii in $\mathbb{Z}^{n}$

## Definition <br> $$
\mathbf{X}^{\mathbf{i}}=X_{1}^{i_{1}} \cdots X_{n}^{i_{n}}
$$

- $K\{\mathbf{X}\}=$ ring of power series converging for all $\mathbf{x}$ s.t. $\operatorname{val}\left(x_{k}\right) \geq 0(k=1, \ldots, n)$
$=$ ring of power series whose general coefficients tend to 0
$=$ ring of power series $\sum a_{\mathbf{i}} \mathbf{X}^{\mathbf{i}}$ with $\operatorname{val}\left(a_{\mathbf{i}}\right) \xrightarrow[|\mathbf{i}| \rightarrow \infty]{\longrightarrow}+\infty$



## Generalizing the convergence condition: log-radii in $\mathbb{Z}^{n}$

## Definition

$$
\mathbf{X}^{\mathbf{i}}=X_{1}^{i_{1}} \cdots X_{n}^{i_{n}}
$$

- $K\{\mathbf{X} ; \mathbf{r}\}=$ ring of power series converging for all $\mathbf{x}$ s.t. $\operatorname{val}\left(x_{k}\right) \geq r_{k}(k=1, \ldots, n)$

$$
\begin{aligned}
& =\text { ring of power series whose general coefficients tend to } 0 \\
& =\text { ring of power series } \sum a_{\mathbf{i}} \mathbf{X}^{\mathbf{i}} \text { with } \operatorname{val}\left(a_{\mathbf{i}}\right)+\mathbf{r} \cdot \mathbf{i} \xrightarrow[|\mathbf{i}| \rightarrow \infty]{ }+\infty
\end{aligned}
$$

- The term order is not the same!

$$
f(X)=\sum_{i=0}^{\infty} x^{i}=1+1 X+1 X^{2}+\cdots \longrightarrow f(x)=1+x+x^{2}+\cdots \text { is divergent }
$$

- Reduction to previous case by change of variables: $f(\pi X)=1+\pi X+\pi^{2} X^{2}+\cdots$


## Generalizing the convergence condition: log-radii in $\mathbb{Q}^{n}$

Definition

$$
\mathbf{X}^{\mathbf{i}}=X_{1}^{i_{1}} \cdots X_{n}^{i_{n}}
$$

- $K\{\mathbf{X} ; \mathbf{r}\}=$ ring of power series converging for all $\mathbf{x}$ s.t. $\operatorname{val}\left(x_{k}\right) \geq r_{k}(k=1, \ldots, n)$

$$
\begin{aligned}
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& =\text { ring of power series } \sum a_{\mathbf{i}} \mathbf{X}^{\mathbf{i}} \text { with } \operatorname{val}\left(a_{\mathbf{i}}\right)+\mathbf{r} \cdot \mathbf{i} \xrightarrow[|\mathbf{i}| \rightarrow \infty]{ }+\infty
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f(X)=\sum_{i=0}^{\infty} x^{i}=1+1 X+1 X^{2}+\cdots \longrightarrow f(x)=1+x+x^{2}+\cdots \text { is divergent }
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Log-radii in $\mathbb{Q}^{n}$ are more complicated, but things still work.

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- Tate series with convergence radius different from 1 (integer or rational log)


## Perspectives

- Faster reduction: algorithms for local monomial orderings and standard bases (Mora)


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## Thank you for your attention!

More information and references:

- Xavier Caruso, Tristan Vaccon and Thibaut Verron (2019). ‘Gröbner Bases Over Tate Algebras'. In: Proceedings of the 2019 on International Symposium on Symbolic and Algebraic Computation - ISSAC '19. DoI: 10.1145/3326229.3326257. URL: https://arxiv.org/abs/1901.09574
- Xavier Caruso, Tristan Vaccon and Thibaut Verron (Feb. 2020). 'Signature-based algorithms for Gröbner bases over Tate algebras'. In: URL: https://hal.archives-ouvertes.fr/hal-02473665 [preprint]

